

APR 27 2007

Regular Correspondence:
195 North Harbor Drive, Suite 5403, Chicago Illinois 60601-7542

Docketed Correspondence:
Post Office Box 7131, Chicago Illinois 60680-7131

Peter K. Trzyna, Esq.

Telephone: (312) 240-0824 Facsimile: (312) 240-0825

E-mail: pkt-law@sbcglobal.net

Fax

To: Examiner Franzy Poinvil**Re:** 09/467,646 RCE**Firm:** United States Patent and Trademark Office**Date / Time:** April 27, 2007**Street Address:****Phone:****City, State Zip:** Alexandria, VA 22313**Fax:** (571) 273-8300**cc:****No. of Pages:** 19 (including cover)PRIVACY AND CONFIDENTIALITY NOTICE

The information contained in this communication is confidential and may be legally privileged. It is intended solely for the use of the individual or entity to whom it is addressed and other authorized to receive it. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or taking of any action in reliance on the contents of this information is strictly prohibited. If you received this communication in error, please immediately notify us by a collect telephone call to the writer at the writer's direct number indicated above, and return the original message and documents to the sender at the above address via the United States postal service.

Message:

RECEIVED
CENTRAL FAX CENTER

APR 27 2007

I hereby certify that this correspondence is being filed by facsimile and addressed to MS: RCE, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Date: April 27, 2007

(Signature of person mailing paper or fee)

PATENT

Paper No.

File: NewMrkt-P99-4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors : Anthony F. Herbst and Wayne F. Perg
Serial No. : 09/467,646
Appeal No.: : 2006-1174
Filed : December 20, 1999
For : DIGITAL COMPUTER SYSTEM FOR OPERATING A
CUSTOMIZABLE INVESTMENT FUND
Group Art Unit : 3692
Examiner : POINVIL, Frantzy

MS: RCE
Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

SIR:

Please enter the following enclosed documents in the above-identified patent application.

1. Request for Continued Examination (RCE) Transmittal (1 page); and
2. Amendment and Response (15 pages).

Applicant claims small entity status. The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges

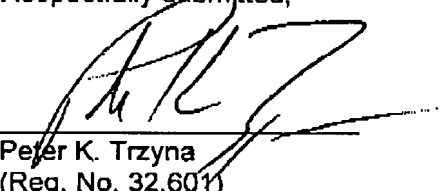
Ser. No. 09/467,646
Art Unit: 3628
Atty Ref. Newmrkt-P4-99

to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Date: April 27, 2007


Peter K. Trzyna
(Reg. No. 32,601)

P.O. Box 7131
Chicago, IL 60680-7131
(312) 240-0824